IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e application of: MATSUI, Hisaji et al.

Allowed: August 11, 2003

Serial Number: 09/868,620

Group Art Unit: 1754

Filed: June 25, 2001

Examiner: LISH, Peter J.

P.T.O. Confirmation No.: 1040

For. AMORPHOUS NANO-SCALE CARBON TUBE AND PRODUCTION METHOD THEREFOR

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

January 7, 2004

Sir:

In compliance with 37 CFR 1.56, Applicants call to the attention of the Patent and Trademark Office the reference listed on the attached PTO-1449.

That each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

A copy of each of the reference is enclosed herewith.

A copy of the Chinese Office Action dated November 21, 2003 is also enclosed herewith.

In the event there are any fees due in connection with the filing of this paper, please charge Deposit Account No. <u>01-2340</u>.

Respectfully submitted,

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PATENT TRADEMARK OFFICE

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Enclosures: PTO-1449; References (1); Chinese Office Action

Atty. Docket No. 010756 Serial No. 09/868,620 **INFORMATION** JC1>>DISCLOSURE Applicant(s): MATSUI, Hisaji et al. **ATEMENT** TO-1449 Filing Date: June 25, 2001 Group Art Unit: 1754 **U.S. PATENT DOCUMENTS** Subclass Filing Date Document No. Class Examiner Name Date (If Initial appropriate) AA AB ACAD FOREIGN PATENT DOCUMENTS Translation Document No. Date Country (Yes or No)

AJ "Preparation of Carbon Nanotubes", New Carbon Material, Ci, Vol. 13, No. 2,
June, 1998

AK Chinese Office Action with partial English translation, dated November 21, 2003

Examiner Date Considered